03-30-04

EXPRESS MAIL NO. EV449556660US

1644



TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	09/164,223
Filing Date	September 30, 1998
First Named Inventor	Alexander Gaiger
Art Unit	1644
Examiner Name	Ronald B. Schwadron
Attorney Docket No.	210121.465

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E	NCLOSURES (check all that app	oly)
Fee Transmittal Form Fee Attached Amendment/Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Third Supplemental Information Disclosure Statement; Forms PTO-1449 (9 Sheets) 109 Cited References Certified Copy of Priority Document(s) Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 Response to Missing Parts/Incomplete Application	Drawing(s) Request for Corrected Filing Receipt Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 CFR 3.73(b) Terminal Disclaimer Request for Refund	CD(s), Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Additional Enclosure(s) (please identify below):
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SIGNATU	RE OF APPLICANT, ATTORNEY,	
Individual Name Julie A. Urva Reg. No. 50	ater, Ph.D., Patent Agent ,461	Customer Number 00500
Signature M	ie Arth	
Date March 29, 2	004	
CED	TIFICATE OF TRANSMISSION/MA	AU INC
I hereby certify that this corresp with the United States Postal Se	ondence is being facsimile transmit ervice with sufficient postage as firs or Patents, P.O. Box 1450, Alexand	tted to the USPTO or deposited to the USPTO or deposited to the USPTO or deposited
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. F:\Pat\PTOSB21.doc

EXPRESS MAIL NO. EV449556660US



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Alexander Gaiger and Martin A. Cheever

Application No.

09/164,223

Filed

September 30, 1998

For

COMPOSITIONS AND METHODS FOR WT1 SPECIFIC

IMMUNOTHERAPY

Examiner

Ronald B. Schwadron

Art Unit

: 1644

Docket No.

: 210121.465

Date

: March 29, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the U.S. Patent and Trademark Office the references set forth on the attached Form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Applicants note that reference AI (JP 11-89596) is written in Japanese. Accordingly, the Examiner's attention is respectfully directed to references GL-GN, and HC, which present English abstracts and the relevant nucleotide sequences.

Applicants note that reference AJ (JP 11-89599) is written in Japanese. Accordingly, the Examiner's attention is respectfully directed to references HD-HJ, which present English abstracts and the relevant nucleotide sequences.

Applicants note that reference AM (WO 96/38176) is written in Japanese. Accordingly, the Examiner's attention is respectfully directed to references DC-DN, and EC, which present English abstracts and the relevant nucleotide sequences.

Applicants note that reference AN (WO 99/03506) is written in Japanese. Accordingly, the Examiner's attention is respectfully directed to references FF-FN, and GC-GJ, which present English abstracts and the relevant nucleotide sequences.

Applicants note that reference AO (WO 00/06602) is written in Japanese. Accordingly, the Examiner's attention is respectfully directed to references HK-HN, and IC-IF, which present English abstracts and the relevant nucleotide sequences.

Please acknowledge receipt of this Third Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicants believe this Information Disclosure Statement has been timely filed, however, the Director is authorized to charge any fee due by way of this Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,

Seed Intellectual Property Law Group ${\ensuremath{\sf PLLC}}$

Julie A. Urvater, Ph.D., Patent Agent

Registration No. 50,461

JAU:ljt Enclosures:

> Postcard Transmittal Form Form PTO-1449 (9 Sheets) Cited References (109)

701 Fifth Avenue, Suite 6300 Seattle, Washington 98104-7092

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. •	AQ	WO 01/94629	12/13/01	WIPO					
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